



Investment Management Insurance

Winners of the Queen's Award for Enterprise 2021



INVESTMENT MANAGEMENT INSURANCE (IMI)

Increased regulation, greater focus on corporate responsibility, and growing media attention underline the need for investment managers to have effective operational, financial and technology risk management.

ELMORE'S RISK MANAGEMENT SOLUTION INCLUDES:

- ✓ Risk Identification
- ✓ Support to Mitigate Risk
- ✓ Risk Transfer With IMI

IMI EXPLAINED

Investment Management Insurance offers an 'umbrella' policy to protect against the many exposures that investment banks, investment managers, advisors, special purpose vehicles, portfolio companies and funds face in the course of business.

EXAMPLES OF CLAIM SCENARIOS

- Breach of investment mandate
- Fat finger trading
- Regulatory investigation
- Employee dishonesty
- Ransomware attack

SMART INSURANCE MANAGEMENT

When you connect risk management and insurance policy audits to insurance procurement and acquisition, you create streamlined, cost-effective and more efficient insurance structures.

Greater focus on policy drafting and claim management, together with implementing risk improvement measures, supports smooth premium budgeting.

WHAT TRIGGERS AN IMI POLICY?

The events are typically triggers:



IMI POLICY COVERAGE

The following cover can be combined in one policy or offered on a modular basis:

- ✓ Event Management
 - Incident Response
 - Mitigation Costs
- ✓ Cyber & Crime Insurance
 - Event management
 - Reputation management
 - Financial loss incl. fines
 - Cyber liability
- ✓ Professional & Technology Liability
 - Claims by customers
 - Claims for failure of service
 - Claims for failure of technology
 - Mitigation costs to put right
- ✓ Directors & Officers Liability
 - Claims by regulators
 - Claims by shareholders
 - Claims by employees
 - Claims by other stakeholders